

Central Valley Regional
Water Quality Control Board

Enforcement Action Estimates

5/1/1992 to 12/31/2004

REDDING OFFICE

Date	Enforcement Action												Court Ordered Settlements
	ACL Order	ACL Complaint	MMP ¹ Order	MMP ¹ Complaint	CAO	CDO	13267 Letter	NSW	TSO	13308 Enf Action	Formal Referral to AG		
5/1/92 to 12/31/92	4	7	0	0	2	2	0	0	0	0	0	0	
1993	0	0	0	0	6	7	0	0	0	0	0	0	
1994	4	2	0	0	12	2	0	0	0	0	0	0	
1995	0	3	0	0	9	10	0	0	0	0	0	0	
1996	0	4	0	0	7	4	0	0	0	0	0	0	
1/1/97 to 4/30/97	0	2	0	0	1	7	0	0	0	0	0	0	
Subtotal 5/1/92 to 4/30/97	8	18	0	0	37	32	0	0	0	0	0	0	
5/1/97 - 12/31/97	0	1	0	0	6	5	0	0	0	0	0	0	
1998	0	3	0	0	4	2	0	0	0	0	0	0	
1999	0	1	0	0	11	3	2	31	0	0	1	0	
2000	1	3	0	1	2	2	20	29	0	0	3	0	
2001	1	5	0	1	5	0	21	34	0	0	0	0	
1/1/02 - 5/31/02	0	0	0	0	3	0	0	0	0	0	0	?	
Subtotal 5/1/97 to 5/31/02	2	13	0	2	31	12	43	94	0	0	4	0	
6/1/02 - 12/31/02	0	0	0	1	4	1	5	23	0	0	0	?	
2003	0	5	0	1	1	3	18	52	0	0	0	0	
2004	1	2	0	3	10	7	12	0	0	0	0	3	
Subtotal 6/1/02 to 12/31/04	1	7	0	5	15	11	35	75	0	0	0	3	
TOTAL	11	38	0	7	83	55	78	169	0	0	4	3	

Comments:

Staff summary for all programs in Redding office (programs tracked in SWIM & Geotracker). 13267 Letter count underestimated. Data reported for period of 5-1-92 to 4-30-97 based upon query of SWIM only (i.e., does not reflect all programs/actions).

¹ MMPs effective in 2000 ¹ MMPs effective in 2000

Notice of Stormwater Noncompliance (including information from NOI database)

Four additional cases settled in court from 6-1-02 to 12-31-04 - not included in totals pending date confirmation. Two additional cases pending that were referred to DAs or Circuit Prosecutors.

SACRAMENTO OFFICE

Date	Enforcement Action											Court Ordered ² Settlements
	ACL Order	ACL Complaint	MMP ¹ Order	MMP ¹ Complaint	CAO	CDO	13267 Letter	NSW	TSO	13308 Enf Action	Formal Referral to AG	
5/1/92 to 12/31/92	1	1	0	0	5	2	0	0	0	0	0	0
1993	1	4	0	0	4	2	0	0	0	0	0	0
1994	1	5	0	0	5	9	0	0	0	0	0	0
1995	2	7	0	0	1	3	0	0	0	0	0	0
1996	3	9	0	0	8	11	0	0	0	0	0	0
1/1/97 to 4/30/97	0	0	0	0	3	1	0	0	0	0	0	0
Subtotal 5/1/92 to 4/30/97	8	26	0	0	26	28	0	0	0	0	0	0
5/1/97 - 12/31/97	4	4	0	0	12	7	0	0	1	0	0	2
1998	1	5	0	0	25	14	0	0	1	0	1	14
1999	0	8	0	0	19	14	8	0	0	0	4	12
2000	3	21	0	4	13	7	102	0	0	0	2	14
2001	4	13	4	11	22	8	37	0	0	1	0	25
1/1/02 - 5/31/02	0	1	0	1	3	6	5	0	0	2	0	11
Subtotal 5/1/97 to 5/31/02	12	52	4	16	94	56	152	0	2	3	7	78
6/1/02 - 12/31/02	3	2	0	6	12	8	26	2	2	3	0	8
2003	0	5	1	3	9	17	49	250	0	0	0	7
2004	6	24	1	9	14	6	99	239	0	0	1	6
Subtotal 6/1/02 to 12/31/04	9	31	2	18	35	31	174	491	2	3	1	21
TOTAL	29	109	6	34	155	115	326	491	4	6	8	99

Comments:

13267 Letter count underestimated. CAO count also underestimated. Sacramento Office summary includes totals for programs tracked in SWIM, and UST, SLIC and Dairy Programs (dairy data includes 3 actions on Redding facilities). Data reported for period of 5-1-92 to 4-30-97 based upon query of SWIM only (i.e., does not reflect all programs/actions).

¹ MMPs effective in 2000

¹ MMPs effective in 2000

Four additional CAOs issued in SLIC program in 1997, and ten additional CAOs issued in UST and SLIC Programs in 2002 - data not split by term thus counts not included in totals pending issuance date clarification.

Seven additional 13267 letters issued in UST and SLIC Programs in 2002 - data not split by term thus counts not included in totals pending issuance date clarification.

Notice of Stormwater Noncompliance

² Dairy Program data only.

Central Valley Regional
Water Quality Control Board

Enforcement Action Estimates

5/1/1992 to 12/31/2004

FRESNO OFFICE

Enforcement Action													
Date	ACL	ACL	MMP ¹	MMP ¹	13267			13308		Formal Referral	Court Ordered		
	Order	Complaint	Order	Complaint	CAO	CDO	Letter	NSW	TSO	Enf Action	to AG	Settlements	
5/1/92 to 12/31/92	0	0	0	0	3	1	0	0	0	0	0	0	
1993	0	0	0	0	3	0	0	0	0	0	0	0	
1994	9	11	0	0	5	6	0	0	0	0	0	0	
1995	2	0	0	0	8	2	0	0	0	0	0	0	
1996	2	2	0	0	5	13	0	0	0	0	0	0	
1/1/97 to 4/30/97	0	0	0	0	1	3	1	0	0	0	0	0	
Subtotal 5/1/92 to 4/30/97	13	13	0	0	25	25	1	0	0	0	0	0	
5/1/97 - 12/31/97	2	0	0	0	4	6	2	0	0	0	0	0	
1998	0	0	0	0	9	10	3	0	0	0	0	0	
1999	1	1	0	0	3	6	17	26	0	0	0	0	
2000	4	7	1	1	1	12	28	29	1	0	1	0	
2001	1	2	1	1	7	9	39	5	0	0	0	0	
1/1/02 - 5/31/02	0	0	0	0	1	1	11	0	0	0	0	0	
Subtotal 5/1/97 to 5/31/02	8	10	2	2	25	44	100	60	1	0	1	0	
6/1/02 - 12/31/02	0	1	0	0	1	2	8	21	0	0	0	0	
2003	3	9	0	0	2	3	21	17	0	0	0	0	
2004	0	5	0	4	4	1	23	20	0	0	2	0	
Subtotal 6/1/02 to 12/31/04	3	15	0	4	7	6	52	58	0	0	2	0	
TOTAL	24	38	2	6	57	75	153	118	1	0	3	0	

Comments:

13267 Letter count most likely underestimated. Fresno Office summary includes totals for programs tracked in SWIM, and Stormwater, UST, and SLIC/DOD Programs. Figures for Dairy Program enforcement actions (Fresno office) not tabulated yet. Data reported for period of 5-1-92 to 4-30-97 based upon query of SWIM only (i.e., does not reflect all programs/actions).

¹ MMPs
effective in
2000

¹ MMPs
effective in
2000

Notice of
Stormwater
Noncompliance

TOTALS (all three offices)

DATE	Enforcement Action											
	ACL Order	ACL Complaint	MMP Order	MMP Complaint	CAO	CDO	13267 Letter	NSW	TSO	13308 Enf Action	Formal Referral to AG	Court Ordered Settlements
5/1/92 to 4/30/97												
Redding	8	18	0	0	37	32	0	0	0	0	0	0
Sacramento	8	26	0	0	26	28	0	0	0	0	0	0
Fresno	13	13	0	0	25	25	1	0	0	0	0	0
Total	29	57	0	0	88	85	1	0	0	0	0	0
5/1/97 to 5/31/02												
Redding	2	13	0	2	31	12	43	94	0	0	4	0
Sacramento	12	52	4	16	94	56	152	0	2	3	7	78
Fresno	8	10	2	2	25	44	100	60	1	0	1	0
Total	22	75	6	20	150	112	295	154	3	3	12	78
6/1/02 to 12/31/04												
Redding	1	7	0	5	15	11	35	75	0	0	0	3
Sacramento	9	31	2	18	35	31	174	491	2	3	1	21
Fresno	3	15	0	4	7	6	52	58	0	0	2	0
Total	13	53	2	27	57	48	261	624	2	3	3	24

Data reported for period of 5-1-92 to 4-30-97 based upon query of SWIM only (i.e., does not reflect all programs/actions).

In addition to enforcement actions estimated above, Regional Board staff also coordinates regularly with other agencies on enforcement (e.g., California Department of Fish and Game, US EPA, State Attorney General's Office, US Attorney's Office, and County District Attorney Offices and Circuit Prosecutors) by participating in the Statewide Dairy Task Force and a series of regional environmental task forces.